

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 220002057125

First Inventor H. Kirk HAMMOND

Title TECHNIQUES AND COMPOSITIONS FOR TREATING CARDIOVASCULAR DISEASE BY IN VIVO GENE DELIVERY

Express Mail Label No. EL 569 177 292 US

U.S. PTO  
09/847930  
05/03/01

## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No.: EL 569 177 292 US

Date of Deposit: May 3, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.1033 on the date indicated above and is addressed to: Assistant Commissioner for Patents/Washington, D.C. 20231.

J. Venegas

Tamara Venegas

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

|  |  |
|--|--|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17)<br>(Submit an original, and a duplicate for fee processing)   | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program<br>(Appendix)   |
| 2. <input type="checkbox"/> Applicant claims small entity status.<br>See 37 CFR 1.27.  | 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)   |
| 3. <input checked="" type="checkbox"/> Specification<br>(preferred arrangement set forth below) <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>  | a. <input type="checkbox"/> Computer Readable Form (CRF)   |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) <span style="float: right;">[Total Sheets 14 ]</span>   | b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> |
| 5. <input type="checkbox"/> Oath or Declaration <span style="float: right;">[Total Pages 112 ]</span>  | c. <input type="checkbox"/> Statements verifying identity of above copies  |
| 6. <input type="checkbox"/> a. <input type="checkbox"/> Newly executed (original or copy)  | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))  |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br>(for continuation/divisional with Box 18 completed)  | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement<br>(where there is an assignee) <input type="checkbox"/> Power of Attorney   |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)  | 11. <input type="checkbox"/> English Translation document (if applicable)  |
| 7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76  | 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations  |
| 8. <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP) of prior application No: This application is a continuation-in-part of U.S. Application Serial No. 09/609,080, filed June 30, 2000, which is a continuation-in-part of U.S. Application Serial No. 09/435,156, filed November 5, 1999, which is a continuation-in-part of U.S. Application Serial No. 08/485,472, filed June 7, 1995 (now issued as U.S. Patent No. 5,792,453), which was a continuation-in-part of U.S. Application Serial No. 08/396,207, filed February 28, 1995, and this application is a continuation-in-part of international application PCT/US00/30345, filed November 3, 2000; and this application is a continuation-in-part of international application PCT/US99/02702 filed February 9, 1999, which is a continuation-in-part of U.S. Application Serial No. 09/021,773, filed February 11, 1998, which is a continuation-in-part of U.S. Application Serial No. 08/485,472, filed June 7, 1995 (now issued as U.S. Patent No. 5,792,453); and this application is a continuation-in-part of U.S. Application Serial No. 09/068,102, filed April 30, 1998, which is a continuation of U.S. Application Serial No. 08/852,779, filed May 6, 1997 and is a continuation-in-part of U.S. Application Serial No. 09/132,167, filed August 10, 1998 |  |
| 9. <input type="checkbox"/> Preliminary Amendment  |  |
| 10. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>Should be specifically itemized  |  |
| 11. <input type="checkbox"/> Certified Copy of Priority Document(s)<br>(if foreign priority is claimed)  |  |
| 12. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  |  |
| 13. <input type="checkbox"/> Other <input type="checkbox"/> Copy of Petition for Extension of Time (being filed under separate cover)  |  |

## ACCOMPANYING APPLICATION PARTS

- 9.  Assignment Papers (cover sheet & document(s))
- 10.  37 CFR 3.73(b) Statement  
(where there is an assignee)  Power of Attorney
- 11.  English Translation document (if applicable)
- 12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
- 13.  Preliminary Amendment
- 14.  Return Receipt Postcard (MPEP 503)  
Should be specifically itemized
- 15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- 16.  Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- 17.  Other  Copy of Petition for Extension of Time (being filed under separate cover)

## 18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: This application is a continuation-in-part of U.S. Application Serial No. 09/609,080, filed June 30, 2000, which is a continuation-in-part of U.S. Application Serial No. 09/435,156, filed November 5, 1999, which is a continuation-in-part of U.S. Application Serial No. 08/485,472, filed June 7, 1995 (now issued as U.S. Patent No. 5,792,453), which was a continuation-in-part of U.S. Application Serial No. 08/396,207, filed February 28, 1995, and this application is a continuation-in-part of international application PCT/US00/30345, filed November 3, 2000; and this application is a continuation-in-part of international application PCT/US99/02702 filed February 9, 1999, which is a continuation-in-part of U.S. Application Serial No. 09/021,773, filed February 11, 1998, which is a continuation-in-part of U.S. Application Serial No. 08/485,472, filed June 7, 1995 (now issued as U.S. Patent No. 5,792,453); and this application is a continuation-in-part of U.S. Application Serial No. 09/068,102, filed April 30, 1998, which is a continuation of U.S. Application Serial No. 08/852,779, filed May 6, 1997 and is a continuation-in-part of U.S. Application Serial No. 09/132,167, filed August 10, 1998

Prior application information:

Examiner To Be Assigned

Group / Art Unit: To Be Assigned

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

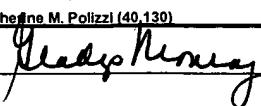
Customer Number or Bar Code Label and/or  Correspondence address below



25226

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|          |  |           |              |
|----------|--|-----------|--------------|
| Name     | Catherine M. Polizzi                           |           |              |
| Address  | Morrison & Foerster, LLP<br>755 Page Mill Road |           |              |
| City     | Palo Alto                                      | State     | CA           |
| Country  | United States                                  | Telephone | 650-813-5651 |
| Zip Code | 94304  |           |              |
| Fax      | 650-494-0792                                   |           |              |

|                   |   |                                   |        |
|-------------------|---|-----------------------------------|--------|
| Name (Print/Type) | Gladys H. Monroy<br>for Catherine M. Polizzi (40,130)                               | Registration No. (Attorney/Agent) | 32,430 |
| Signature         |  |                                   |        |
|                   |   | Date May 3, 2001                  |        |